This is a continuation application of United States Patent Application Number 09/112,790 filed July 10, 1998

## **CAMERA CONTROL PRINT MEDIUM**

# CROSS REFERENCES TO RELATED APPLICATIONS

The following Australian provisional patent applications are hereby incorporated by reference. For the purposes of location and identification, US patents/patent applications identified by their US patent/patent application serial numbers are listed alongside the Australian applications from which the US patents/patent applications claim the right of priority.

CROSS-REFERENCED	US PATENT/PATENT APPLICATION	DOCKET NO.
AUSTRALIAN	(CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN	
PROVISIONAL PATENT	PROVISIONAL APPLICATION)	
APPLICATION NO.		
PO7991	09/113,060	ART01
PO8505	6,476,863	ART02
PO7988	09/113,073	ART03
PO9395	6,322,181	ART04
PO8017	09/112,747	ART06
PO8014	6,227,648	ART07
PO8025	09/112,750	ART08
PO8032	09/112,746	ART09
PO7999	09/112,743	ART10
PO7998	09/112,742	ART11
PO8031	09/112,741	ART12
PO8030	6,196,541	ART13
PO7997	6,195,150	ART15
PO7979	6,362,868	ART16
PO8015	09/112,738	ART17
PO7978	09/113,067	ART18
PO7982	6,431,669	ART19
PO7989	6,362,869	ART20
PO8019	6,472,052	ART21
PO7980	6,356,715	ART22
PO8018	09/112,777	ART24
PO7938	09/113,224	ART25
PO8016	6,366,693	ART26
PO8024	6,329,990	ART27
PO7940	09/113,072	ART28

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CROSS-REFERENCED	US PATENT/PATENT APPLICATION	DOCKET NO.	
AUSTRALIAN	(CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN		
PROVISIONAL PATENT	PROVISIONAL APPLICATION)		
APPLICATION NO.			
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PO7939	6,459,495	ART29	
PO8501	6,137,500	ART30	
PO8500	09/112,796	ART31	
PO7987	09/113,071	ART32	
PO8022	6,398,328	ART33	
PO8497	09/113,090	ART34	
PO8020	6,431,704	ART38	
PO8023	09/113,222	ART39	
PO8504	09/112,786	ART42	
PO8000	6,415,054	ART43	
PO7977	09/112,782	ART44	
PO7934	09/113,056	ART45	
PO7990	09/113,059	ART46	
PO8499	6,486,886	ART47	
PO8502	6,381,361	ART48	
PO7981	6,317,192	ART50	
PO7986	09/113,057	ART51	
PO7983	09/113,054	ART52	
PO8026	09/112,752	ART53	
PO8027	09/112,759	ART54	
PO8028	09/112,757	ART56	
PO9394	6,357,135	ART57	
PO9396	09/113,107	ART58	
PO9397	6,271,931	ART59	
PO9398	6,353,772	ART60	
PO9399	6,106,147	ART61	
PO9400	09/112,790	ART62	
PO9401	6,304,291	ART63	
PO9402	09/112,788	ART64	
PO9403	6,305,770	ART65	
PO9405	6,289,262	ART66	
PP0959	6,315,200	ART68	
PP1397	6,217,165	ART69	
PP2370	09/112,781	DOT01	
PP2371	09/113,052	DOT02	
PO8003	6,350,023	Fluid01	
PO8005	6,318,849	Fluid02	
PO9404	09/113,101	Fluid03	
PO8066	6,227,652	IJ01	
PO8072	6,213,588	IJ02	

CROSS-REFERENCED	US PATENT/PATENT APPLICATION	DOCKET NO.
AUSTRALIAN	(CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN	
PROVISIONAL PATENT	PROVISIONAL APPLICATION)	
APPLICATION NO.	TROVISIONAL TRI DICATION	
PO8040	6,213,589	1J03
PO8071	6,231,163	IJ04
PO8047	6,247,795	IJ05
PO8035	6,394,581	IJ06
PO8044	6,244,691	IJ07
PO8063	6,257,704	IJ08
PO8057	6,416,168	IJ09
PO8056	6,220,694	IJ10
PO8069	6,257,705	IJ11
PO8049	6,247,794	IJ12
PO8036	6,234,610	IJ13
PO8048	6,247,793	IJ14
PO8070	6,264,306	IJ15
PO8067	6,241,342	IJ16
PO8001	6,247,792	<u> </u>
PO8038	6,264,307	IJ18
PO8033	6,254,220	IJ19
PO8002	6,234,611	IJ20
PO8068	6,302,528	IJ21
PO8062	6,283,582	IJ22
PO8034	6,239,821	IJ23
PO8039	6,338,547	IJ24
PO8041	6,247,796	IJ25
PO8004	09/113,122	IJ26
PO8037	6,390,603	IJ27
PO8043	6,362,843	IJ28
PO8042	6,293,653	IJ29
PO8064	6,312,107	IJ30
PO9389	6,227,653	IJ31
PO9391	6,234,609	IJ32
PP0888	6,238,040	IJ33
PP0891	6,188,415	IJ34
PP0890	6,227,654	IJ35
PP0873	6,209,989	IJ36
PP0993	6,247,791	IJ37
PP0890	6,336,710	IJ38
PP1398	6,217,153	1J39
PP2592	6,416,167	IJ40
PP2593	6,243,113	IJ41
PP3991	6,283,581	IJ42

CROSS-REFERENCED	US PATENT/PATENT APPLICATION	DOCKET NO.
AUSTRALIAN	(CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN	
PROVISIONAL PATENT	PROVISIONAL APPLICATION)	
APPLICATION NO.		
PP3987	6,247,790	IJ43
PP3985	6,260,953	IJ44
PP3983	6,267,469	IJ45
PO7935	6,224,780	IJM01
PO7936	6,235,212	IJM02
PO7937	6,280,643	IJM03
PO8061	6,284,147	IJM04
PO8054	6,214,244	IJM05
PO8065	6,071,750	IJM06
PO8055	6,267,905	IJM07
PO8053	6,251,298	IJM08
PO8078	6,258,285	ІЛМ09
PO7933	6,225,138	ІЛМ10
PO7950	6,241,904	IJM11
PO7949	6,299,786	ІЈМ12
PO8060	09/113,124	ІЛМ13
PO8059	6,231,773	IJM14
PO8073	6,190,931	IJM15
PO8076	6,248,249	ІЈМ16
PO8075	09/113,120	IJM17
PO8079	6,241,906	IJM18
PO8050	09/113,116	ІЈМ19
PO8052	6,241,905	IJM20
PO7948	09/113,117	IJM21
PO7951	6,231,772	<b>І</b> М22
PO8074	6,274,056	IJM23
PO7941	6,290,861	<b>І</b> ЈМ24
PO8077	6,248,248	IJM25
PO8058	6,306,671	ІЈМ26
PO8051	6,331,258	IJM27
PO8045	6,110,754	IJM28
PO7952	6,294,101	IJM29
PO8046	6,416,679	IJM30
PO9390	6,264,849	IJM31
PO9392	6,254,793	IJM32
PP0889	6,235,211	IJM35
PP0887	6,491,833	ІЈМ36
PP0882	6,264,850	IJM37
PP0874	6,258,284	IJM38
PP1396	6,312,615	ІЛМ39

CROSS-REFERENCED	US PATENT/PATENT APPLICATION	DOCKET NO.
AUSTRALIAN	(CLAIMING RIGHT OF PRIORITY FROM AUSTRALIAN	
PROVISIONAL PATENT	PROVISIONAL APPLICATION)	
APPLICATION NO.		
PP3989	6,228,668	IJM40
PP2591	6,180,427	IJM41
PP3990	6,171,875	IJM42
PP3986	6,267,904	IJM43
PP3984	6,245,247	IJM44
PP3982	6,315,914	IJM45
PP0895	6,231,148	IR01
PP0870	09/113,106	IR02
PP0869	6,293,658	IR04
PP0887	09/113,104	IR05
PP0885	6,238,033	IR06
PP0884	6,312,070	IR10
PP0886	6,238,111	IR12
PP0871	09/113,086	IR13
PP0876	09/113,094	IR14
PP0877	6,378,970	IR16
PP0878	6,196,739	IR17
PP0879	09/112,774	IR18
PP0883	6,270,182	IR19
PP0880	6,152,619	IR20
PP0881	09/113,092	IR21
PO8006	6,087,638	MEMS02
PO8007	6,340,222	MEMS03
PO8008	09/113,062	MEMS04
PO8010	6,041,600	MEMS05
PO8011	6,299,300	MEMS06
PO7947	6,067,797	MEMS07
PO7944	6,286,935	MEMS09
PO7946	6,044,646	MEMS10
PO9393	09/113,065	MEMS11
PP0875	09/113,078	MEMS12
PP0894	6,382,769	MEMS13

# STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

Not applicable.

#### FIELD OF THE INVENTION

The present invention relates to the operation of a digital camera device for the production of useful effects. In particular, the present invention relates to the utilisation of special cards, hereinafter called "Meta-Artcards" which are adapted to be inserted into a camera device so as to produce new and unusual effects. In particular, there is provided an Artcard for the Control of the operation of a camera device.

#### **BACKGROUND OF THE INVENTION**

In Australian provisional patent specification PO7991 entitled "Image Processing Method and Apparatus (Art 01)" filed 15 July 1997 and Australian provisional patent specification PO8505 entitled "Image Processing Method and Apparatus (Art 01a)" filed 11 August 1997, filed by the present applicant in addition to a number of associated applications filed simultaneously therewith, there is disclosed a camera system able to print out images on demand through the utilisation of an internal print head and print roll having a print media film in addition to an internal ink supply for utilisation by said camera system.

The aforementioned specifications further disclose the utilisation of a series of cards, hereinafter known as "Artcards" which are adapted to be inserted into the camera device so as to produce significant visual effects to any images captured utilising the camera device. The effects are further designed to be printed out on demand utilising the integral internal print head of the camera device.

It would be advantageous to have a system which allowed for the effective servicing and diagnosis of faults which may occur in the aforementioned camera systems. Additionally, it would be desirable to provide an alternative form for control of the camera which utilises the forgoing Artcard technologies.

#### SUMMARY OF THE INVENTION

In accordance with the present invention, there is provided a series of meta cards which are adapted to uniquely control the operation of a camera device system such as that disclosed in the aforementioned patent specifications.

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In accordance with a first aspect of the present invention, there is provided a digital camera system comprising an image sensor for sensing an image; storage means for storing the sensed image and associated system structures; data input means for the insertion of an image modification data module for modification of the sensed image; processor means interconnected to the image sensor, the storage means and the data input means for the control of the camera system in addition to the manipulation of the sensed image; printer means for printing out the sensed image on demand on print media supplied to the printer means; and a method of providing a camera control data module adapted to cause the processor means to modify the manner in which the digital camera system operates upon the insertion of further image modification data modules.

Preferably, the image modification data module comprises a card having the data encoded on the surface thereof and the data encoding is in the form of printing and the data input means includes an optical scanner for scanning a surface of the card. The modification of operation can include applying each image modification in turn of a series of inserted image modification modules to the same image in a cumulative manner.

#### BRIEF DESCRIPTION OF THE DRAWINGS

- Fig. 1 is a schematic of the operation of an Artcam system;
- Fig. 2 illustrates a first example modified operation of a Artcam system;
- Fig. 3 illustrates a repetition card which modifies the operation of that Artcam device;
- Fig. 4 illustrates a Artcard test card for modification of the operation of an Artcam device; and
  - Fig. 5 illustrates the output test results of an Artcam device.

#### 25 DESCRIPTION OF PREFERRED AND OTHER EMBODIMENTS

The preferred embodiment is preferably implemented through suitable programming of a hand held camera device such as that described in Australian Provisional Patent Application No. PO7991 entitled "Image Processing Method and Apparatus (Art 01)" filed 15 July, 1997 with a large number of associated applications in addition to Australian Provisional patent Application No. PO 8505 entitled "Image Processing Method and Apparatus (Art 01a)" filed 11th August, 1997, again with a number of associated applications.

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The aforementioned patent specification discloses a camera system, hereinafter known as an "Artcam" type camera, wherein sensed images can be directly printed out by an Artcam portable camera unit. Further, the aforementioned specification discloses means and methods for performing various manipulations on images captured by the camera sensing device leading to the production of various effects in any output image. The manipulations are disclosed to be highly flexible in nature and can be implemented through the insertion into the Artcam of cards having encoded thereon various instructions for the manipulation of images, the cards hereinafter being known as Artcards. The Artcam further has significant onboard processing power by an Artcam Central Processor unit (ACP) which is interconnected to a memory device for the storage of important data and images.

The basics of the aforementioned Artcam arrangement are indicated in schematic form 1 in Fig. 1. The arrangement includes a CCD sensor 2 for sensing an image or scene. Additionally, an Artcard reader sensor 3 is provided for sensing the reading of an Artcard 8 upon which is encoded image manipulation algorithms for manipulation for the sensed image. Both the CCD sensor 2 and Artcard reader 3 are interconnected to an Artcard central processing unit (ACP) 4 which provides complex computational power for manipulation of the sensed image. Additionally, a memory unit 5 is provided for the storage of images, sensed data, programs etc. Interconnected to the ACP 4 is a print head 6 for the printing out of final photos 7 on print media supplied from an internal print roll.

In the preferred embodiments, a unique series of Artcards 8 are provided for insertion into Artcard reader 3 for the unique modified control of the Artcam central processor 4. A first example is as illustrated with reference to Figs. 2 and 3 and provides for the utilisation of multiple Artcards so as to provide overlapping or multiplicative image effects. A suitable replicative Artcard is as illustrated 10 in Fig. 3 which contains on one surface thereof instructions on how to operate the camera device so as to cause the effects to be combined. The Artcard 10 contains, on the second surface thereof, instructions for the operation of the Artcam device so as to cause the combining effect. Turning to Fig. 2, there is illustrated an example of the operation of the repetition card so as to produce combined effects. The Artcam system will have a sensed or stored image 12 of a particular scene. The first step is to insert a repetition card 13 which contains a code to modify the operation of the Artcam system so as to enter a repetition mode. Next, a first Artcard 14 is inserted in the Artcard reader which results

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in a first effect 15 being applied to the image in accordance with the instructions on the Artcard 14. Next, the repetition card is again inserted 16 followed by a second Artcard 17 which, produces a second effect 18 which can, for example, be the placement of a text message on the image 18. Next, the repetition card is again inserted 19 before a third Artcard 20 is inserted so as to provide a further effect in the image 21. The process of Fig. 2 can be iteratively continued in accordance with requirements so as to produce a desired output image. In this way, the apparatus of the aforementioned patent specification can be utilised with an increased flexibility for the production of combined effects from single effect Artcards. Further, the user interface provided is simple and effective for the production of combined effects. Of course, many modifications can be provided. For example, in an alternative embodiment, the repetition card may only be inserted once and then a series of Artcards is inserted subsequent to the repetition card being inserted with the system resilient after printout.

Turning now to Fig. 4, there is illustrated an alternative Artcard 30 which is provided for internal testing of the Artcam system. Each Artcam system can be provided with a number of internal test routines which are stored in the internal ROM of the Artcam system. The test can be accessed by specialised function calls in the interpretive language provided within the Artcam central processor. The routines can be Artcam device specific and can, for example, include:

the printing out of test patterns to determine the operational state of the print head; the printing out of test patterns which result in the operational manipulation of the print head (for example, printing all black) so as to clean nozzles and to set up nozzle arrangements which result in improved operation of the print head;

test patterns can be printed for later analysis so as to show the effectiveness of the operation of the print head;

Turning to Fig. 5, there is illustrated an example test output 35 which can include various informative internal data 36 in addition to the printing out of test patterns 37. The test patterns 37 can later be examined by means of automated or manual methods to determine any problems which may exist with the camera system. The preferred embodiment can be implemented through the utilisation of hard wired software routines programmed in the Artcam device and stored in ROM memory.

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Of course, many refinements can be envisaged in that the routines can be updated and changed from model to model and the number of tests is virtually unlimited. In this way, the operation of the camera device can be modified in accordance with the inserted card.

It would be appreciated by a person skilled in the art that numerous variations and/or modifications may be made to the present invention as shown in the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects to be illustrative and not restrictive. Ink Jet Technologies

The embodiments of the invention use an ink jet printer type device. Of course many different devices could be used. However presently popular ink jet printing technologies are unlikely to be suitable.

The most significant problem with thermal ink jet is power consumption. This is approximately 100 times that required for high speed, and stems from the energy-inefficient means of drop ejection. This involves the rapid boiling of water to produce a vapor bubble which expels the ink. Water has a very high heat capacity, and must be superheated in thermal ink jet applications. This leads to an efficiency of around 0.02%, from electricity input to drop momentum (and increased surface area) out.

The most significant problem with piezoelectric ink jet is size and cost. Piezoelectric crystals have a very small deflection at reasonable drive voltages, and therefore require a large area for each nozzle. Also, each piezoelectric actuator must be connected to its drive circuit on a separate substrate. This is not a significant problem at the current limit of around 300 nozzles per print head, but is a major impediment to the fabrication of pagewidth print heads with 19,200 nozzles.

Ideally, the ink jet technologies used meet the stringent requirements of in-camera digital color printing and other high quality, high speed, low cost printing applications. To meet the requirements of digital photography, new ink jet technologies have been created. The target features include:

low power (less than 10 Watts)
high resolution capability (1,600 dpi or more)
photographic quality output
low manufacturing cost

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small size (pagewidth times minimum cross section)

high speed (< 2 seconds per page).

All of these features can be met or exceeded by the ink jet systems described below with differing levels of difficulty. Forty-five different ink jet technologies have been developed by the Assignee to give a wide range of choices for high volume manufacture. These technologies form part of separate applications assigned to the present Assignee as set out in the list under the heading Cross References to Related Applications.

The ink jet designs shown here are suitable for a wide range of digital printing systems, from battery powered one-time use digital cameras, through to desktop and network printers, and through to commercial printing systems

For ease of manufacture using standard process equipment, the print head is designed to be a monolithic 0.5 micron CMOS chip with MEMS post processing. For color photographic applications, the print head is 100 mm long, with a width which depends upon the ink jet type. The smallest print head designed is covered in US Patent Application No. 09/112,764, which is 0.35 mm wide, giving a chip area of 35 square mm. The print heads each contain 19,200 nozzles plus data and control circuitry.

Ink is supplied to the back of the print head by injection molded plastic ink channels. The molding requires 50 micron features, which can be created using a lithographically micromachined insert in a standard injection molding tool. Ink flows through holes etched through the wafer to the nozzle chambers fabricated on the front surface of the wafer. The print head is connected to the camera circuitry by tape automated bonding.

### Tables of Drop-on-Demand Ink Jets

The present invention is useful in the field of digital printing, in particular, ink jet printing. A number of patent applications in this field were filed simultaneously and incorporated by cross reference.

Eleven important characteristics of the fundamental operation of individual ink jet nozzles have been identified. These characteristics are largely orthogonal, and so can be elucidated as an eleven dimensional matrix. Most of the eleven axes of this matrix include entries developed by the present assignee.

The following tables form the axes of an eleven dimensional table of ink jet types. Actuator mechanism (18 types)

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Basic operation mode (7 types)

Auxiliary mechanism (8 types)

Actuator amplification or modification method (17 types)

Actuator motion (19 types)

Nozzle refill method (4 types)

Method of restricting back-flow through inlet (10 types)

Nozzle clearing method (9 types)

Nozzle plate construction (9 types)

Drop ejection direction (5 types)

Ink type (7 types)

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The complete eleven dimensional table represented by these axes contains 36.9 billion possible configurations of ink jet nozzle. While not all of the possible combinations result in a viable ink jet technology, many million configurations are viable. It is clearly impractical to elucidate all of the possible configurations. Instead, certain ink jet types have been investigated in detail. Forty-five such inkjet types were filed simultaneously to the present application.

Other ink jet configurations can readily be derived from these forty-five examples by substituting alternative configurations along one or more of the 11 axes. Most of the forty-five examples can be made into ink jet print heads with characteristics superior to any currently available ink jet technology.

Where there are prior art examples known to the inventor, one or more of these examples are listed in the examples column of the tables below. The simultaneously filed patent applications by the present applicant are listed by USSN numbers. In some cases, a print technology may be listed more than once in a table, where it shares characteristics with more than one entry.

Suitable applications for the ink jet technologies include: Home printers, Office network printers, Short run digital printers, Commercial print systems, Fabric printers, Pocket printers, Internet WWW printers, Video printers, Medical imaging, Wide format printers, Notebook PC printers, Fax machines, Industrial printing systems, Photocopiers, Photographic minilabs etc.

The information associated with the aforementioned 11 dimensional matrix are set out in the following tables.

	Description	Advantages	Disadvantages	Examples
Thermal bubble	An electrothermal heater heats the ink to above boiling point, transferring significant heat to the aqueous ink. A bubble nucleates and quickly forms, expelling the ink. The efficiency of the process is low, with typically less than 0.05% of the electrical energy being transformed into kinetic energy of the drop.	<ul> <li>Large force generated</li> <li>Simple construction</li> <li>No moving parts</li> <li>Fast operation</li> <li>Small chip area required for actuator</li> </ul>	<ul> <li>High power</li> <li>Ink carrier limited to water</li> <li>Low efficiency</li> <li>High temperatures required</li> <li>High mechanical stress</li> <li>Unusual materials required</li> <li>Large drive transistors</li> <li>Cavitation causes actuator failure</li> <li>Kogation reduces bubble formation</li> <li>Large print heads are difficult to fabricate</li> </ul>	<ul> <li>Canon Bubblejet 1979         Endo et al GB patent         2,007,162</li> <li>Xerox heater-in-pit         1990 Hawkins et al         USP 4,899,181</li> <li>Hewlett-Packard TIJ         1982 Vaught et al USP         4,490,728</li> </ul>
Piezo- electric	A piezoelectric crystal such as lead lanthanum zirconate (PZT) is electrically activated, and either expands, shears, or bends to apply pressure to the ink, ejecting drops.	<ul> <li>Low power consumption</li> <li>Many ink types can be used</li> <li>Fast operation</li> <li>High efficiency</li> </ul>	<ul> <li>♦ Very large area required for actuator</li> <li>♦ Difficult to integrate with electronics</li> <li>♦ High voltage drive transistors required</li> <li>♦ Full pagewidth print heads impractical due to actuator size</li> <li>♦ Requires electrical poling in high field strengths during manufacture</li> </ul>	<ul> <li>Kyser et al USP 3,946,398</li> <li>Zoltan USP 3,683,212</li> <li>1973 Stemme USP 3,747,120</li> <li>Epson Stylus</li> <li>Tektronix</li> <li>USSN 09/112,803</li> </ul>

	Description	Advantages	Disadvantages	Examples
An electric field is used to activate electrostriction in relaxor materials such as lead lanthanum zirconate titanate (PLZT) or lead magnesium niobate (PMN).	<ul> <li>Low power consumption</li> <li>Many ink types can be used</li> <li>Low thermal expansion</li> <li>Electric field strength required (approx. 3.5 V/µm) can be generated without difficulty</li> <li>Does not require electrical poling</li> </ul>	<ul> <li>Low maximum strain (approx. 0.01%)</li> <li>Large area required for actuator due to low strain</li> <li>Response speed is marginal (~10 μs)</li> <li>High voltage drive transistors required</li> <li>Full pagewidth print heads impractical due to actuator size</li> </ul>	<ul> <li>Seiko Epson, Usui et all JP 253401/96</li> <li>USSN 09/112,803</li> </ul>	
Ferro- electric	An electric field is used to induce a phase transition between the antiferroelectric (AFE) and ferroelectric (FE) phase. Perovskite materials such as tin modified lead lanthanum zirconate titanate (PLZSnT) exhibit large strains of up to 1% associated with the AFE to FE phase transition.	<ul> <li>Low power consumption</li> <li>Many ink types can be used</li> <li>Fast operation (&lt; 1 μs)</li> <li>Relatively high longitudinal strain</li> <li>High efficiency</li> <li>Electric field strength of around 3 V/μm can be readily provided</li> </ul>	<ul> <li>Difficult to integrate with electronics</li> <li>Unusual materials such as PLZSnT are required</li> <li>Actuators require a large area</li> </ul>	◆ USSN 09/112,803

	Description	Advantages	Disadvantages	Examples
Electro- static plates	Conductive plates are separated by a compressible or fluid dielectric (usually air). Upon application of a voltage, the plates attract each other and displace ink, causing drop ejection. The conductive plates may be in a comb or honeycomb structure, or stacked to increase the surface area and therefore the force.	Low power consumption     Many ink types can be used     Fast operation	<ul> <li>◆ Difficult to operate electrostatic devices in an aqueous environment</li> <li>◆ The electrostatic actuator will normally need to be separated from the ink</li> <li>◆ Very large area required to achieve high forces</li> <li>◆ High voltage drive transistors may be required</li> <li>◆ Full pagewidth print heads are not competitive due to actuator size</li> </ul>	◆ USSN 09/112,787; 09/112,803
Electro- static pull on ink	A strong electric field is applied to the ink, whereupon electrostatic attraction accelerates the ink towards the print medium.	<ul> <li>Low current consumption</li> <li>Low temperature</li> </ul>	<ul> <li>High voltage required</li> <li>May be damaged by sparks due to air breakdown</li> <li>Required field strength increases as the drop size decreases</li> <li>High voltage drive transistors required</li> <li>Electrostatic field attracts dust</li> </ul>	<ul> <li>1989 Saito et al, USP 4,799,068</li> <li>1989 Miura et al, USP 4,810,954</li> <li>Tone-jet</li> </ul>

	Description	Advantages	Disadvantages	Examples
Permanent magnet electro- magnetic	An electromagnet directly attracts a permanent magnet, displacing ink and causing drop ejection. Rare earth magnets with a field strength around 1 Tesla can be used. Examples are: Samarium Cobalt (SaCo) and magnetic materials in the neodymium iron boron family (NdFeB, NdDyFeBNb, NdDyFeB, etc)	<ul> <li>Low power consumption</li> <li>Many ink types can be used</li> <li>Fast operation</li> <li>High efficiency</li> <li>Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul> <li>♦ Complex fabrication</li> <li>♦ Permanent magnetic material such as Neodymium Iron Boron (NdFeB) required.</li> <li>♦ High local currents required</li> <li>♦ Copper metalization should be used for long electromigration lifetime and low resistivity</li> <li>♦ Pigmented inks are usually infeasible</li> <li>♦ Operating temperature limited to the Curie temperature (around 540 K)</li> </ul>	• USSN 09/113,084; 09/112,779
Soft magnetic core electro- magnetic	A solenoid induced a magnetic field in a soft magnetic core or yoke fabricated from a ferrous material such as electroplated iron alloys such as CoNiFe [1], CoFe, or NiFe alloys. Typically, the soft magnetic material is in two parts, which are normally held apart by a spring. When the solenoid is actuated, the two parts attract, displacing the ink.	<ul> <li>Low power consumption</li> <li>Many ink types can be used</li> <li>Fast operation</li> <li>High efficiency</li> <li>Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul> <li>Complex fabrication</li> <li>Materials not usually present in a CMOS fab such as NiFe, CoNiFe, or CoFe are required</li> <li>High local currents required</li> <li>Copper metalization should be used for long electromigration lifetime and low resistivity</li> <li>Electroplating is required</li> <li>High saturation flux density is required (2.0-2.1 T is achievable with CoNiFe [1])</li> </ul>	USSN 09/112,751; 09/113,097; 09/113,066; 09/112,779; 09/113,061; 09/112,816; 09/112,772; 09/112,815

	Description	Advantages	Disadvantages	Examples
Lorenz force	The Lorenz force acting on a current carrying wire in a magnetic field is utilized.  This allows the magnetic field to be supplied externally to the print head, for example with rare earth permanent magnets.  Only the current carrying wire need be fabricated on the print-head, simplifying materials requirements.	<ul> <li>Low power consumption</li> <li>Many ink types can be used</li> <li>Fast operation</li> <li>High efficiency</li> <li>Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul> <li>Force acts as a twisting motion</li> <li>Typically, only a quarter of the solenoid length provides force in a useful direction</li> <li>High local currents required</li> <li>Copper metalization should be used for long electromigration lifetime and low resistivity</li> <li>Pigmented inks are usually infeasible</li> </ul>	◆ USSN 09/113,099; 09/113,077; 09/112,818; 09/112,819
Magneto- striction	The actuator uses the giant magnetostrictive effect of materials such as Terfenol-D (an alloy of terbium, dysprosium and iron developed at the Naval Ordnance Laboratory, hence Ter-Fe-NOL). For best efficiency, the actuator should be pre-stressed to approx. 8 MPa.	<ul> <li>Many ink types can be used</li> <li>Fast operation</li> <li>Easy extension from single nozzles to pagewidth print heads</li> <li>High force is available</li> </ul>	<ul> <li>Force acts as a twisting motion</li> <li>Unusual materials such as Terfenol-D are required</li> <li>High local currents required</li> <li>Copper metalization should be used for long electromigration lifetime and low resistivity</li> <li>Pre-stressing may be required</li> </ul>	<ul> <li>Fischenbeck, USP 4,032,929</li> <li>◆ USSN 09/113,121</li> </ul>
Surface tension reduction	Ink under positive pressure is held in a nozzle by surface tension. The surface tension of the ink is reduced below the bubble threshold, causing the ink to egress from the nozzle.	<ul> <li>Low power consumption</li> <li>Simple construction</li> <li>No unusual materials required in fabrication</li> <li>High efficiency</li> <li>Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul> <li>Requires         supplementary         force to effect         drop separation</li> <li>Requires special         ink surfactants</li> <li>Speed may be         limited by         surfactant         properties</li> </ul>	Silverbrook, EP 0771     658 A2 and related patent applications

	Description	Advantages	Disadvantages	Examples
Viscosity reduction	The ink viscosity is locally reduced to select which drops are to be ejected. A viscosity reduction can be achieved electrothermally with most inks, but special inks can be engineered for a 100:1 viscosity reduction.	<ul> <li>Simple construction</li> <li>No unusual materials required in fabrication</li> <li>Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul> <li>Requires         supplementary         force to effect         drop separation</li> <li>Requires special         ink viscosity         properties</li> <li>High speed is         difficult to achieve</li> <li>Requires         oscillating ink         pressure</li> <li>A high         temperature         difference         (typically 80         degrees) is         required</li> </ul>	Silverbrook, EP 0771 658 A2 and related patent applications
Acoustic	An acoustic wave is generated and focussed upon the drop ejection region.	◆ Can operate without a nozzle plate	<ul> <li>Complex drive circuitry</li> <li>Complex fabrication</li> <li>Low efficiency</li> <li>Poor control of drop position</li> <li>Poor control of drop volume</li> </ul>	<ul> <li>◆ 1993 Hadimioglu et al, EUP 550,192</li> <li>◆ 1993 Elrod et al, EUP 572,220</li> </ul>
Thermo- elastic bend actuator	An actuator which relies upon differential thermal expansion upon Joule heating is used.	<ul> <li>Low power consumption</li> <li>Many ink types can be used</li> <li>Simple planar fabrication</li> <li>Small chip area required for each actuator</li> <li>Fast operation</li> <li>High efficiency</li> <li>CMOS compatible voltages and currents</li> <li>Standard MEMS processes can be used</li> <li>Easy extension from single nozzles to pagewidth print</li> </ul>	Efficient aqueous operation requires a thermal insulator on the hot side     Corrosion prevention can be difficult     Pigmented inks may be infeasible, as pigment particles may jam the bend actuator	◆ USSN 09/112,802; 09/112,778; 09/112,815; 09/113,096; 09/113,068; 09/113,095; 09/112,808; 09/112,809; 09/112,780; 09/113,083; 09/112,793; 09/112,794; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768

	Description	Advantages	Disadvantages	Examples
High CTE thermo- elastic actuator	A material with a very high coefficient of thermal expansion (CTE) such as polytetrafluoroethyl ene (PTFE) is used. As high CTE materials are usually non-conductive, a heater fabricated from a conductive material is incorporated. A 50 µm long PTFE bend actuator with polysilicon heater and 15 mW power input can provide 180 µN force and 10 µm deflection. Actuator motions include: Bend Push Buckle Rotate	<ul> <li>High force can be generated</li> <li>Three methods of PTFE deposition are under development: chemical vapor deposition (CVD), spin coating, and evaporation</li> <li>PTFE is a candidate for low dielectric constant insulation in ULSI</li> <li>Very low power consumption</li> <li>Many ink types can be used</li> <li>Simple planar fabrication</li> <li>Small chip area required for each actuator</li> <li>Fast operation</li> <li>High efficiency</li> <li>CMOS compatible voltages and currents</li> <li>Easy extension from single nozzles to pagewidth print</li> </ul>	<ul> <li>Requires special material (e.g. PTFE)</li> <li>Requires a PTFE deposition process, which is not yet standard in ULSI fabs</li> <li>PTFE deposition cannot be followed with high temperature (above 350 °C) processing</li> <li>Pigmented inks may be infeasible, as pigment particles may jam the bend actuator</li> </ul>	◆ USSN 09/112,778; 09/112,815; 09/113,096 09/113,095; 09/112,808 09/112,809; 09/112,780 09/113,083; 09/112,793 09/112,794; 09/113,128 09/113,127; 09/112,756 09/112,807; 09/112,806 09/112,820

	Description	Advantages	Disadvantages	Examples
Conduct- ive polymer thermo- elastic actuator	A polymer with a high coefficient of thermal expansion (such as PTFE) is doped with conducting substances to increase its conductivity to about 3 orders of magnitude below that of copper. The conducting polymer expands when resistively heated. Examples of conducting dopants include:  Carbon nanotubes Metal fibers  Conductive polymers such as doped polythiophene  Carbon granules	<ul> <li>High force can be generated</li> <li>Very low power consumption</li> <li>Many ink types can be used</li> <li>Simple planar fabrication</li> <li>Small chip area required for each actuator</li> <li>Fast operation</li> <li>High efficiency</li> <li>CMOS compatible voltages and currents</li> <li>Easy extension from single nozzles to pagewidth print heads</li> </ul>	<ul> <li>♠ Requires special materials development (High CTE conductive polymer)</li> <li>♠ Requires a PTFE deposition process, which is not yet standard in ULSI fabs</li> <li>♠ PTFE deposition cannot be followed with high temperature (above 350 °C) processing</li> <li>♠ Evaporation and CVD deposition techniques cannot be used</li> <li>♠ Pigmented inks may be infeasible, as pigment particles may jam the bend actuator</li> </ul>	◆ USSN 09/113,083
Shape memory alloy	A shape memory alloy such as TiNi (also known as Nitinol - Nickel Titanium alloy developed at the Naval Ordnance Laboratory) is thermally switched between its weak martensitic state and its high stiffness austenic state. The shape of the actuator in its martensitic state is deformed relative to the austenic shape. The shape change causes ejection of a drop.	<ul> <li>High force is available (stresses of hundreds of MPa)</li> <li>Large strain is available (more than 3%)</li> <li>High corrosion resistance</li> <li>Simple construction</li> <li>Easy extension from single nozzles to pagewidth print heads</li> <li>Low voltage operation</li> </ul>	<ul> <li>Fatigue limits         maximum number         of cycles</li> <li>Low strain (1%) is         required to extend         fatigue resistance</li> <li>Cycle rate limited         by heat removal</li> <li>Requires unusual         materials (TiNi)</li> <li>The latent heat of         transformation         must be provided</li> <li>High current         operation</li> <li>Requires pre-         stressing to distort         the martensitic         state</li> </ul>	◆ USSN 09/113,122

	Description	Advantages	Disadvantages	Examples
Linear Magnetic Actuator	Linear magnetic actuators include the Linear Induction Actuator (LIA), Linear Permanent Magnet Synchronous Actuator (LPMSA), Linear Reluctance Synchronous Actuator (LRSA), Linear Switched Reluctance Actuator (LSRA), and the Linear Stepper Actuator (LSA).	<ul> <li>◆ Linear Magnetic actuators can be constructed with high thrust, long travel, and high efficiency using planar semiconductor fabrication techniques</li> <li>◆ Long actuator travel is available</li> <li>◆ Medium force is available</li> <li>◆ Low voltage operation</li> </ul>	<ul> <li>♣ Requires unusual semiconductor materials such as soft magnetic alloys (e.g. CoNiFe)</li> <li>♣ Some varieties also require permanent magnetic materials such as Neodymium iron boron (NdFeB)</li> <li>♣ Requires complex multi-phase drive circuitry</li> <li>♣ High current operation</li> </ul>	◆ USSN 09/113,061

	Description	Advantages	Disadvantages	Examples
Actuator directly pushes ink	This is the simplest mode of operation: the actuator directly supplies sufficient kinetic energy to expel the drop. The drop must have a sufficient velocity to overcome the surface tension.	<ul> <li>Simple operation</li> <li>No external fields required</li> <li>Satellite drops can be avoided if drop velocity is less than 4 m/s</li> <li>Can be efficient, depending upon the actuator used</li> </ul>	<ul> <li>◆ Drop repetition rate is usually limited to around 10 kHz.         However, this is not fundamental to the method, but is related to the refill method normally used     </li> <li>◆ All of the drop kinetic energy must be provided by the actuator</li> <li>◆ Satellite drops usually form if drop velocity is greater than 4.5 m/s</li> </ul>	◆ Thermal ink jet  ◆ Piezoelectric ink jet  ◆ USSN 09/112,751; 09/112,787; 09/112,802; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/112,778; 09/113,077; 09/113,061; 09/112,816; 09/112,819; 09/113,095; 09/112,809; 09/112,780; 09/113,083; 09/112,780; 09/113,122; 09/112,793; 09/112,794; 09/113,121; 09/113,127; 09/112,756; 09/112,755; 09/112,756; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820

	Description	Advantages	Disadvantages	Examples
Proximity	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by contact with the print medium or a transfer roller.	<ul> <li>♦ Very simple print head fabrication can be used</li> <li>♦ The drop selection means does not need to provide the energy required to separate the drop from the nozzle</li> </ul>	<ul> <li>Requires close proximity between the print head and the print media or transfer roller</li> <li>May require two print heads printing alternate rows of the image</li> <li>Monolithic color print heads are difficult</li> </ul>	Silverbrook, EP 0771     658 A2 and related patent applications
Electro- static pull on ink	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by a strong electric field.	<ul> <li>Very simple print head fabrication can be used</li> <li>The drop selection means does not need to provide the energy required to separate the drop from the nozzle</li> </ul>	<ul> <li>◆ Requires very high electrostatic field</li> <li>◆ Electrostatic field for small nozzle sizes is above air breakdown</li> <li>◆ Electrostatic field may attract dust</li> </ul>	<ul> <li>Silverbrook, EP 0771</li> <li>658 A2 and related patent applications</li> <li>Tone-Jet</li> </ul>
Magnetic pull on ink	The drops to be printed are selected by some manner (e.g. thermally induced surface tension reduction of pressurized ink). Selected drops are separated from the ink in the nozzle by a strong magnetic field acting on the magnetic ink.	<ul> <li>Very simple print head fabrication can be used</li> <li>The drop selection means does not need to provide the energy required to separate the drop from the nozzle</li> </ul>	<ul> <li>Requires         magnetic ink</li> <li>Ink colors other         than black are         difficult</li> <li>Requires very         high magnetic         fields</li> </ul>	Silverbrook, EP 0771 658 A2 and related patent applications
Shutter	The actuator moves a shutter to block ink flow to the nozzle. The ink pressure is pulsed at a multiple of the drop ejection frequency.	<ul> <li>High speed         (&gt;50 kHz)         operation can be         achieved due to         reduced refill         time</li> <li>Drop timing can         be very accurate</li> <li>The actuator         energy can be         very low</li> </ul>	<ul> <li>Moving parts are required</li> <li>Requires ink pressure modulator</li> <li>Friction and wear must be considered</li> <li>Stiction is possible</li> </ul>	◆ USSN 09/112,818; 09/112,815; 09/112,808

	Description	Advantages	Disadvantages	Examples
Shuttered grill	The actuator moves a shutter to block ink flow through a grill to the nozzle. The shutter movement need only be equal to the width of the grill holes.	<ul> <li>Actuators with small travel can be used</li> <li>Actuators with small force can be used</li> <li>High speed (&gt;50 kHz) operation can be achieved</li> </ul>	<ul> <li>Moving parts are required</li> <li>Requires ink pressure modulator</li> <li>Friction and wear must be considered</li> <li>Stiction is possible</li> </ul>	◆ USSN 09/113,066; 09/112,772; 09/113,096; 09/113,068
Pulsed magnetic pull on ink pusher	A pulsed magnetic field attracts an 'ink pusher' at the drop ejection frequency. An actuator controls a catch, which prevents the ink pusher from moving when a drop is not to be ejected.	<ul> <li>Extremely low energy operation is possible</li> <li>No heat dissipation problems</li> </ul>	<ul> <li>Requires an         external pulsed         magnetic field</li> <li>Requires special         materials for both         the actuator and         the ink pusher</li> <li>Complex         construction</li> </ul>	◆ USSN 09/112,779

	Description	Advantages	Disadvantages	Examples
None	The actuator directly fires the ink drop, and there is no external field or other mechanism required.	<ul> <li>Simplicity of construction</li> <li>Simplicity of operation</li> <li>Small physical size</li> </ul>	Drop ejection energy must be supplied by individual nozzle actuator	<ul> <li>Most ink jets, including piezoelectric and thermal bubble.</li> <li>USSN 09/112,751; 09/112,802; 09/112,803; 09/113,097; 09/113,084; 09/113,078; 09/113,077; 09/113,061; 09/112,816; 09/113,095; 09/112,816; 09/112,780; 09/113,122; 09/113,122; 09/113,122; 09/113,122; 09/112,794; 09/113,127; 09/112,755; 09/112,755; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820</li> </ul>
Oscillating ink pressure (including acoustic stimulation)	The ink pressure oscillates, providing much of the drop ejection energy. The actuator selects which drops are to be fired by selectively blocking or enabling nozzles. The ink pressure oscillation may be achieved by vibrating the print head, or preferably by an actuator in the ink supply.	<ul> <li>Oscillating ink pressure can provide a refill pulse, allowing higher operating speed</li> <li>The actuators may operate with much lower energy</li> <li>Acoustic lenses can be used to focus the sound on the nozzles</li> </ul>	<ul> <li>Requires external ink pressure oscillator</li> <li>Ink pressure phase and amplitude must be carefully controlled</li> <li>Acoustic reflections in the ink chamber must be designed for</li> </ul>	<ul> <li>Silverbrook, EP 0771         <ul> <li>658 A2 and related patent applications</li> </ul> </li> <li>USSN 09/113,066;         <ul> <li>09/112,818; 09/112,772;</li> <li>09/112,815; 09/113,096;</li> <li>09/113,068; 09/112,808</li> </ul> </li> </ul>
Media proximity	The print head is placed in close proximity to the print medium. Selected drops protrude from the print head further than unselected drops, and contact the print medium. The drop soaks into the medium fast enough to cause drop separation.	<ul> <li>Low power</li> <li>High accuracy</li> <li>Simple print head construction</li> </ul>	<ul> <li>Precision         assembly         required</li> <li>Paper fibers may         cause problems</li> <li>Cannot print on         rough substrates</li> </ul>	Silverbrook, EP 0771 658 A2 and related patent applications

	Description	Advantages	Disadvantages	Examples
Transfer roller	Drops are printed to a transfer roller instead of straight to the print medium. A transfer roller can also be used for proximity drop separation.	High accuracy Wide range of print substrates can be used Ink can be dried on the transfer roller	Bulky     Expensive     Complex construction	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Tektronix hot melt piezoelectric ink jet ◆ Any of USSN 09/112,751; 09/112,787; 09/112,802; 09/113,099; 09/113,097; 09/113,066; 09/112,778; 09/113,077; 09/113,077; 09/113,077; 09/113,077; 09/112,818; 09/112,819; 09/112,815; 09/112,815; 09/112,815; 09/112,815; 09/112,809; 09/112,808; 09/113,095; 09/112,808; 09/113,121; 09/112,780; 09/112,780; 09/113,121; 09/113,122; 09/112,793; 09/112,794; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,756; 09/112,756; 09/112,764; 09/112,765; 09/112,765; 09/112,765; 09/112,767; 09/112,768; 09/112,820; 09/112,806; 09/112,820; 09/112,821
Electro- static	An electric field is used to accelerate selected drops towards the print medium.	<ul> <li>Low power</li> <li>Simple print head construction</li> </ul>	<ul> <li>Field strength required for separation of small drops is near or above air breakdown</li> </ul>	<ul> <li>Silverbrook, EP 0771</li> <li>658 A2 and related patent applications</li> <li>Tone-Jet</li> </ul>
Direct magnetic field	A magnetic field is used to accelerate selected drops of magnetic ink towards the print medium.	<ul> <li>Low power</li> <li>Simple print head construction</li> </ul>	<ul> <li>Requires         magnetic ink</li> <li>Requires strong         magnetic field</li> </ul>	Silverbrook, EP 0771     658 A2 and related     patent applications
Cross magnetic field	The print head is placed in a constant magnetic field. The Lorenz force in a current carrying wire is used to move the actuator.	◆ Does not require magnetic materials to be integrated in the print head manufacturing process	<ul> <li>Requires external magnet</li> <li>Current densities may be high, resulting in electromigration problems</li> </ul>	◆ USSN 09/113,099; 09/112,819

	Description	Advantages	Disadvantages	Examples
Pulsed magnetic field	A pulsed magnetic field is used to cyclically attract a paddle, which pushes on the ink. A small actuator moves a catch, which selectively prevents the paddle from moving.	<ul> <li>Very low power operation is possible</li> <li>Small print head size</li> </ul>	<ul> <li>Complex print head construction</li> <li>Magnetic materials required in print head</li> </ul>	◆ USSN 09/112,779

	Description	Advantages	Disadvantages	Examples
None	No actuator mechanical amplification is used. The actuator directly drives the drop ejection process.	◆ Operational simplicity	♦ Many actuator mechanisms have insufficient travel, or insufficient force, to efficiently drive the drop ejection process	◆ Thermal Bubble Ink jet ◆ USSN 09/112,751; 09/112,787; 09/113,099; 09/113,084; 09/112,819; 09/113,121; 09/113,122
Differential expansion bend actuator	An actuator material expands more on one side than on the other. The expansion may be thermal, piezoelectric, magnetostrictive, or other mechanism. The bend actuator converts a high force low travel actuator mechanism to high travel, lower force mechanism.	◆ Provides greater travel in a reduced print head area	High stresses are involved     Care must be taken that the materials do not delaminate     Residual bend resulting from high temperature or high stress during formation	◆ Piezoelectric  ◆ USSN 09/112,802; 09/112,778; 09/112,815; 09/113,096; 09/113,068; 09/113,095; 09/112,808; 09/112,809; 09/112,780; 09/113,083; 09/112,793; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820
Transient bend actuator	A trilayer bend actuator where the two outside layers are identical. This cancels bend due to ambient temperature and residual stress. The actuator only responds to transient heating of one side or the other.	<ul> <li>Very good temperature stability</li> <li>High speed, as a new drop can be fired before heat dissipates</li> <li>Cancels residual stress of formation</li> </ul>	<ul> <li>High stresses are involved</li> <li>Care must be taken that the materials do not delaminate</li> </ul>	◆ USSN 09/112,767; 09/112,768

	Description	Advantages	Disadvantages	Examples
Reverse spring	The actuator loads a spring. When the actuator is turned off, the spring releases. This can reverse the force/distance curve of the actuator to make it compatible with the force/time requirements of the drop ejection.	Better coupling to the ink	<ul> <li>Fabrication complexity</li> <li>High stress in the spring</li> </ul>	◆ USSN 09/113,097; 09/113,077
Actuator stack	A series of thin actuators are stacked. This can be appropriate where actuators require high electric field strength, such as electrostatic and piezoelectric actuators.	<ul> <li>Increased travel</li> <li>Reduced drive voltage</li> </ul>	<ul> <li>Increased fabrication complexity</li> <li>Increased possibility of short circuits due to pinholes</li> </ul>	<ul> <li>Some piezoelectric ink jets</li> <li>USSN 09/112,803</li> </ul>
Multiple actuators	Multiple smaller actuators are used simultaneously to move the ink. Each actuator need provide only a portion of the force required.	<ul> <li>Increases the force available from an actuator</li> <li>Multiple actuators can be positioned to control ink flow accurately</li> </ul>	Actuator forces may not add linearly, reducing efficiency	• USSN 09/113,061; 09/112,818; 09/113,096; 09/113,095; 09/112,809; 09/112,794; 09/112,807; 09/112,806
Linear Spring	A linear spring is used to transform a motion with small travel and high force into a longer travel, lower force motion.	<ul> <li>Matches low travel actuator with higher travel requirements</li> <li>Non-contact method of motion transformation</li> </ul>	Requires print head area for the spring	◆ USSN 09/112,772
Coiled actuator	A bend actuator is coiled to provide greater travel in a reduced chip area.	<ul> <li>Increases travel</li> <li>Reduces chip area</li> <li>Planar implementation s are relatively easy to fabricate.</li> </ul>	Generally restricted to planar implementation s due to extreme fabrication difficulty in other orientations.	◆ USSN 09/112,815; 09/112,808; 09/112,811; 09/112,812

	Description	Advantages	Disadvantages	Examples
Flexure bend actuator	A bend actuator has a small region near the fixture point, which flexes much more readily than the remainder of the actuator. The actuator flexing is effectively converted from an even coiling to an angular bend, resulting in greater travel of the actuator tip.	Simple means of increasing travel of a bend actuator	<ul> <li>◆ Care must be taken not to exceed the elastic limit in the flexure area</li> <li>◆ Stress distribution is very uneven</li> <li>◆ Difficult to accurately model with finite element analysis</li> </ul>	◆ USSN 09/112,779; 09/113,068; 09/112,754
Catch	The actuator controls a small catch. The catch either enables or disables movement of an ink pusher that is controlled in a bulk manner.	<ul> <li>Very low actuator energy</li> <li>Very small actuator size</li> </ul>	<ul> <li>Complex construction</li> <li>Requires external force</li> <li>Unsuitable for pigmented inks</li> </ul>	◆ USSN 09/112,779
Gears	Gears can be used to increase travel at the expense of duration. Circular gears, rack and pinion, ratchets, and other gearing methods can be used.	Low force, low travel actuators can be used     Can be fabricated using standard surface MEMS processes	<ul> <li>Moving parts are required</li> <li>Several actuator cycles are required</li> <li>More complex drive electronics</li> <li>Complex construction</li> <li>Friction, friction, and wear are possible</li> </ul>	◆ USSN 09/112,818
Buckle plate	A buckle plate can be used to change a slow actuator into a fast motion. It can also convert a high force, low travel actuator into a high travel, medium force motion.	◆ Very fast movement achievable	Must stay     within elastic     limits of the     materials for     long device life     High stresses     involved     Generally high     power     requirement	◆ S. Hirata et al, "An Ink-je Head Using Diaphragm Microactuator", Proc. IEEE MEMS, Feb. 1996, pp 418-423. ◆ USSN 09/113,096; 09/112,793

	Description	Advantages	Disadvantages	Examples
Tapered magnetic pole	A tapered magnetic pole can increase travel at the expense of force.	Linearizes the magnetic force/distance curve	• Complex construction	• USSN 09/112,816
Lever	A lever and fulcrum is used to transform a motion with small travel and high force into a motion with longer travel and lower force. The lever can also reverse the direction of travel.	<ul> <li>Matches low travel actuator with higher travel requirements</li> <li>Fulcrum area has no linear movement, and can be used for a fluid seal</li> </ul>	High stress around the fulcrum	• USSN 09/112,755; 09/112,813; 09/112,814
Rotary impeller	The actuator is connected to a rotary impeller. A small angular deflection of the actuator results in a rotation of the impeller vanes, which push the ink against stationary vanes and out of the nozzle.	<ul> <li>High         mechanical         advantage</li> <li>The ratio of         force to travel         of the actuator         can be matched         to the nozzle         requirements by         varying the         number of         impeller vanes</li> </ul>	<ul> <li>Complex construction</li> <li>Unsuitable for pigmented inks</li> </ul>	◆ USSN 09/112,794
Acoustic lens	A refractive or diffractive (e.g. zone plate) acoustic lens is used to concentrate sound waves.	◆ No moving parts	<ul> <li>◆ Large area required</li> <li>◆ Only relevant for acoustic ink jets</li> </ul>	<ul> <li>◆ 1993 Hadimioglu et al, EUP 550,192</li> <li>◆ 1993 Elrod et al, EUP 572,220</li> </ul>
Sharp conductive point	A sharp point is used to concentrate an electrostatic field.	Simple construction	<ul> <li>Difficult to fabricate using standard VLSI processes for a surface ejecting ink-jet</li> <li>Only relevant for electrostatic ink jets</li> </ul>	◆ Tone-jet

	Description	Advantages	Disadvantages	Examples
Volume expansion	The volume of the actuator changes, pushing the ink in all directions.	Simple construction in the case of thermal ink jet	♦ High energy is typically required to achieve volume expansion. This leads to thermal stress, cavitation, and kogation in thermal ink jet implementation s	<ul> <li>◆ Hewlett-Packard Thermal Ink jet</li> <li>◆ Canon Bubblejet</li> </ul>
Linear, normal to chip surface	The actuator moves in a direction normal to the print head surface. The nozzle is typically in the line of movement.	Efficient     coupling to ink     drops ejected     normal to the     surface	♦ High fabrication complexity may be required to achieve perpendicular motion	◆ USSN 09/112,751; 09/112,787; 09/112,803; 09/113,084; 09/113,077; 09/112,816
Parallel to chip surface	The actuator moves parallel to the print head surface. Drop ejection may still be normal to the surface.	Suitable for planar fabrication	<ul> <li>Fabrication complexity</li> <li>Friction</li> <li>Stiction</li> </ul>	◆ USSN 09/113,061; 09/112,818; 09/112,772; 09/112,754; 09/112,811; 09/112,812; 09/112,813
Membrane push	An actuator with a high force but small area is used to push a stiff membrane that is in contact with the ink.	The effective area of the actuator becomes the membrane area	<ul> <li>Fabrication complexity</li> <li>Actuator size</li> <li>Difficulty of integration in a VLSI process</li> </ul>	• 1982 Howkins USP 4,459,601
Rotary	The actuator causes the rotation of some element, such a grill or impeller	<ul> <li>Rotary levers         may be used to         increase travel</li> <li>Small chip area         requirements</li> </ul>	<ul> <li>Device complexity</li> <li>May have friction at a pivot point</li> </ul>	◆ USSN 09/113,097; 09/113,066; 09/112,818; 09/112,794
Bend	The actuator bends when energized. This may be due to differential thermal expansion, piezoelectric expansion, magnetostriction, or other form of relative dimensional change.	A very small change in dimensions can be converted to a large motion.	• Requires the actuator to be made from at least two distinct layers, or to have a thermal difference across the actuator	<ul> <li>◆ 1970 Kyser et al USP 3,946,398</li> <li>◆ 1973 Stemme USP 3,747,120</li> <li>◆ 09/112,802; 09/112,778; 09/112,779; 09/113,068; 09/112,780; 09/113,128; 09/113,121; 09/113,128; 09/113,127; 09/112,756; 09/112,754; 09/112,811; 09/112,812</li> </ul>

	Description	Advantages	Disadvantages	Examples
Swivel	The actuator swivels around a central pivot. This motion is suitable where there are opposite forces applied to opposite sides of the paddle, e.g. Lorenz force.	<ul> <li>Allows         operation where         the net linear         force on the         paddle is zero</li> <li>Small chip area         requirements</li> </ul>	◆ Inefficient coupling to the ink motion	◆ USSN 09/113,099
Straighten	The actuator is normally bent, and straightens when energized.	<ul> <li>Can be used with shape memory alloys where the austenic phase is planar</li> </ul>	Requires careful balance of stresses to ensure that the quiescent bend is accurate	• USSN 09/113,122; 09/112,755
Double bend	The actuator bends in one direction when one element is energized, and bends the other way when another element is energized.	<ul> <li>One actuator can be used to power two nozzles.</li> <li>Reduced chip size.</li> <li>Not sensitive to ambient temperature</li> </ul>	Difficult to make the drops ejected by both bend directions identical.     A small efficiency loss compared to equivalent single bend actuators.	• USSN 09/112,813; 09/112,814; 09/112,764
Shear	Energizing the actuator causes a shear motion in the actuator material.	◆ Can increase the effective travel of piezoelectric actuators	Not readily applicable to other actuator mechanisms	◆ 1985 Fishbeck USP 4,584,590
Radial con- striction	The actuator squeezes an ink reservoir, forcing ink from a constricted nozzle.	<ul> <li>Relatively easy to fabricate single nozzles from glass tubing as macroscopic structures</li> </ul>	<ul> <li>High force required</li> <li>Inefficient</li> <li>Difficult to integrate with VLSI processes</li> </ul>	◆ 1970 Zoltan USP 3,683,212
Coil / uncoil	A coiled actuator uncoils or coils more tightly. The motion of the free end of the actuator ejects the ink.	<ul> <li>Easy to         <ul> <li>fabricate as a</li> <li>planar VLSI</li> <li>process</li> </ul> </li> <li>Small area         <ul> <li>required,</li> <li>therefore low</li> <li>cost</li> </ul> </li> </ul>	Difficult to     fabricate for     non-planar     devices     Poor out-of- plane stiffness	◆ USSN 09/112,815; 09/112,808; 09/112,811; 09/112,812
Bow	The actuator bows (or buckles) in the middle when energized.	<ul> <li>Can increase the speed of travel</li> <li>Mechanically rigid</li> </ul>	Maximum     travel is     constrained     High force     required	• USSN 09/112,819; 09/113,096; 09/112,793

	Description	Advantages	Disadvantages	Examples
Push-Pull	Two actuators control a shutter. One actuator pulls the shutter, and the other pushes it.	◆ The structure is pinned at both ends, so has a high out-of-plane rigidity	Not readily suitable for ink jets which directly push the ink	◆ USSN 09/113,096
Curl inwards	A set of actuators curl inwards to reduce the volume of ink that they enclose.	◆ Good fluid flow to the region behind the actuator increases efficiency	◆ Design complexity	◆ USSN 09/113,095; 09/112,807
Curl outwards	A set of actuators curl outwards, pressurizing ink in a chamber surrounding the actuators, and expelling ink from a nozzle in the chamber.	◆ Relatively simple construction	◆ Relatively large chip area	• USSN 09/112,806
Iris	Multiple vanes enclose a volume of ink. These simultaneously rotate, reducing the volume between the vanes.	<ul><li>High efficiency</li><li>Small chip area</li></ul>	<ul> <li>High fabrication complexity</li> <li>Not suitable for pigmented inks</li> </ul>	◆ USSN 09/112,809
Acoustic vibration	The actuator vibrates at a high frequency.	• The actuator can be physically distant from the ink	<ul> <li>Large area required for efficient operation at useful frequencies</li> <li>Acoustic coupling and crosstalk</li> <li>Complex drive circuitry</li> <li>Poor control of drop volume and position</li> </ul>	<ul> <li>◆ 1993 Hadimioglu et al, EUP 550,192</li> <li>◆ 1993 Elrod et al, EUP 572,220</li> </ul>
None	In various ink jet designs the actuator does not move.	No moving parts	Various other tradeoffs are required to eliminate moving parts	<ul> <li>Silverbrook, EP 0771 658         A2 and related patent</li></ul>

	Description	Advantages	Disadvantages	Examples
Surface tension	This is the normal way that ink jets are refilled. After the actuator is energized, it typically returns rapidly to its normal position. This rapid return sucks in air through the nozzle opening. The ink surface tension at the nozzle then exerts a small force restoring the meniscus to a minimum area. This force refills the nozzle.	<ul> <li>◆ Fabrication simplicity</li> <li>◆ Operational simplicity</li> </ul>	◆ Low speed ◆ Surface tension force relatively small compared to actuator force ◆ Long refill time usually dominates the total repetition rate	↑ Thermal ink jet  ↑ Piezoelectric ink jet  ↑ USSN-09/112,751; 09/113,084; 09/112,779; 09/112,816; 09/112,819; 09/113,095; 09/112,809; 09/112,780; 09/113,122; 09/112,793; 09/112,794; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,768; 09/112,807; 09/112,806; 09/112,820; 09/112,821
Shuttered oscillating ink pressure	Ink to the nozzle chamber is provided at a pressure that oscillates at twice the drop ejection frequency. When a drop is to be ejected, the shutter is opened for 3 half cycles: drop ejection, actuator return, and refill. The shutter is then closed to prevent the nozzle chamber emptying during the next negative pressure cycle.	<ul> <li>◆ High speed</li> <li>◆ Low actuator energy, as the actuator need only open or close the shutter, instead of ejecting the ink drop</li> </ul>	<ul> <li>Requires         common ink         pressure         oscillator</li> <li>May not be         suitable for         pigmented inks</li> </ul>	◆ USSN 09/113,066; 09/112,818; 09/112,772; 09/112,815; 09/113,096; 09/113,068; 09/112,808
Refill actuator	After the main actuator has ejected a drop a second (refill) actuator is energized. The refill actuator pushes ink into the nozzle chamber. The refill actuator returns slowly, to prevent its return from emptying the chamber again.	◆ High speed, as the nozzle is actively refilled	Requires two independent actuators per nozzle	◆ USSN 09/112,778

	Description	Advantages	Disadvantages	Examples
Positive ink pressure	The ink is held a slight positive pressure. After the ink drop is ejected, the nozzle chamber fills quickly as surface tension and ink pressure both operate to refill the nozzle.	High refill rate, therefore a high drop repetition rate is possible	Surface spill must be prevented Highly hydrophobic print head surfaces are required	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ Alternative for: USSN 09/112,751; 09/112,787; 09/112,802; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/112,779; 09/113,077; 09/113,061; 09/112,818; 09/112,816; 09/112,819; 09/113,095; 09/112,809; 09/112,780; 09/113,083; 09/113,121; 09/113,122; 09/112,793; 09/112,794; 09/113,128, 09/113,127; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,821

	Description	Advantages	Disadvantages	Examples
Long inlet channel	The ink inlet channel to the nozzle chamber is made long and relatively narrow, relying on viscous drag to reduce inlet back-flow.	<ul> <li>Design simplicity</li> <li>Operational simplicity</li> <li>Reduces crosstalk</li> </ul>	<ul> <li>Restricts refill rate</li> <li>May result in a relatively large chip area</li> <li>Only partially effective</li> </ul>	<ul> <li>◆ Thermal ink jet</li> <li>◆ Piezoelectric ink jet</li> <li>◆ USSN 09/112,807; 09/112,806</li> </ul>
Positive ink pressure	The ink is under a positive pressure, so that in the quiescent state some of the ink drop already protrudes from the nozzle.  This reduces the pressure in the nozzle chamber which is required to eject a certain volume of ink. The reduction in chamber pressure results in a reduction in ink pushed out through the inlet.	<ul> <li>◆ Drop selection and separation forces can be reduced</li> <li>◆ Fast refill time</li> </ul>	Requires a method (such as a nozzle rim or effective hydrophobizin g, or both) to prevent flooding of the ejection surface of the print head.	<ul> <li>Silverbrook, EP 0771 658</li></ul>
Baffle	One or more baffles are placed in the inlet ink flow. When the actuator is energized, the rapid ink movement creates eddies which restrict the flow through the inlet. The slower refill process is unrestricted, and does not result in eddies.	<ul> <li>The refill rate is not as restricted as the long inlet method.</li> <li>Reduces crosstalk</li> </ul>	<ul> <li>Design complexity</li> <li>May increase fabrication complexity (e.g. Tektronix hot melt Piezoelectric print heads).</li> </ul>	◆ HP Thermal Ink Jet ◆ Tektronix piezoelectric ink jet

	Description	Advantages	Disadvantages	Examples
Flexible flap restricts inlet	In this method recently disclosed by Canon, the expanding actuator (bubble) pushes on a flexible flap that restricts the inlet.	Significantly reduces backflow for edgeshooter thermal ink jet devices	<ul> <li>Not applicable to most ink jet configurations</li> <li>Increased fabrication complexity</li> <li>Inelastic deformation of polymer flap results in creep over extended use</li> </ul>	◆ Canon
Inlet filter	A filter is located between the ink inlet and the nozzle chamber. The filter has a multitude of small holes or slots, restricting ink flow. The filter also removes particles which may block the nozzle.	<ul> <li>Additional advantage of ink filtration</li> <li>Ink filter may be fabricated with no additional process steps</li> </ul>	<ul> <li>Restricts refill rate</li> <li>May result in complex construction</li> </ul>	◆ USSN 09/112,803; 09/113,061; 09/113,083; 09/112,793; 09/113,128; 09/113,127
Small inlet compared to nozzle	The ink inlet channel to the nozzle chamber has a substantially smaller cross section than that of the nozzle, resulting in easier ink egress out of the nozzle than out of the inlet.	◆ Design simplicity	<ul> <li>Restricts refill rate</li> <li>May result in a relatively large chip area</li> <li>Only partially effective</li> </ul>	◆ USSN 09/112,787; 09/112,814; 09/112,820
Inlet shutter	A secondary actuator controls the position of a shutter, closing off the ink inlet when the main actuator is energized.	Increases speed of the ink-jet print head operation	♦ Requires separate refill actuator and drive circuit	◆ USSN 09/112,778

	Description	Advantages	Disadvantages	Examples
The inlet is located behind the ink-pushing surface	The method avoids the problem of inlet back-flow by arranging the inkpushing surface of the actuator between the inlet and the nozzle.	Back-flow problem is eliminated	Requires careful design to minimize the negative pressure behind the paddle	◆ USSN 09/112,751; 09/112,802; 09/113,097; 09/113,099; 09/113,084; 09/112,779; 09/113,077; 09/112,816; 09/112,819; 09/112,809; 09/112,780; 09/113,121; 09/112,794; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,812; 09/112,813; 09/112,765; 09/112,767; 09/112,768
Part of the actuator moves to shut off the inlet	The actuator and a wall of the ink chamber are arranged so that the motion of the actuator closes off the inlet.	Significant reductions in back-flow can be achieved Compact designs possible	◆ Small increase in fabrication complexity	◆ USSN 09/113,084; 09/113,095; 09/113,122; 09/112,764
Nozzle actuator does not result in ink back- flow	In some configurations of ink jet, there is no expansion or movement of an actuator which may cause ink back-flow through the inlet.	Ink back-flow problem is eliminated	♦ None related to ink back-flow on actuation	<ul> <li>Silverbrook, EP 0771 658     A2 and related patent     applications</li> <li>Valve-jet</li> <li>Tone-jet</li> </ul>

NOZZLE CLEARING METHOD				
	Description	Advantages	Disadvantages	Examples

	Description	Advantages	Disadvantages	Examples
Normal nozzle firing	All of the nozzles are fired periodically, before the ink has a chance to dry. When not in use the nozzles are sealed (capped) against air.  The nozzle firing is usually performed during a special clearing cycle, after first moving the print head to a cleaning station.	No added complexity on the print head	May not be sufficient to displace dried ink	◆ Most ink jet systems ◆ USSN 09/112,751; 09/112,787; 09/112,802; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/112,778; 09/112,779; 09/113,077; 09/113,061; 09/112,816; 09/112,819; 09/113,095; 09/112,809; 09/112,780; 09/113,083; 09/113,121; 09/113,122; 09/112,793; 09/112,794; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,813; 09/112,811; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820; 09/112,821
Extra power to ink heater	In systems which heat the ink, but do not boil it under normal situations, nozzle clearing can be achieved by overpowering the heater and boiling ink at the nozzle.	◆ Can be highly effective if the heater is adjacent to the nozzle	<ul> <li>◆ Requires         <ul> <li>higher drive</li> <li>voltage for</li> <li>clearing</li> </ul> </li> <li>◆ May require</li> <li>larger drive</li> <li>transistors</li> </ul>	Silverbrook, EP 0771 658     A2 and related patent applications
Rapid success- ion of actuator pulses	The actuator is fired in rapid succession. In some configurations, this may cause heat build-up at the nozzle which boils the ink, clearing the nozzle. In other situations, it may cause sufficient vibrations to dislodge clogged nozzles.	Does not require extra drive circuits on the print head     Can be readily controlled and initiated by digital logic	• Effectiveness depends substantially upon the configuration of the ink jet nozzle	◆ May be used with: USSN 09/112,751; 09/112,787; 09/112,803; 09/112,803; 09/113,099; 09/113,099; 09/113,084; 09/112,778; 09/113,077; 09/112,816; 09/112,819; 09/113,095; 09/112,809; 09/112,780; 09/113,121; 09/112,793; 09/112,794; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,767; 09/112,768; 09/112,820; 09/112,821

	Description	Advantages	Disadvantages	Examples
Extra power to ink pushing actuator	Where an actuator is not normally driven to the limit of its motion, nozzle clearing may be assisted by providing an enhanced drive signal to the actuator.	◆ A simple solution where applicable	Not suitable where there is a hard limit to actuator movement	◆ May be used with: USSN 09/112,802; 09/112,778; 09/112,819; 09/113,095; 09/112,780; 09/113,083; 09/113,121; 09/112,793; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820; 09/112,821
Acoustic resonance	An ultrasonic wave is applied to the ink chamber. This wave is of an appropriate amplitude and frequency to cause sufficient force at the nozzle to clear blockages. This is easiest to achieve if the ultrasonic wave is at a resonant frequency of the ink cavity.	A high nozzle clearing capability can be achieved  May be implemented at very low cost in systems which already include acoustic actuators	High implementation cost if system does not already include an acoustic actuator	◆ USSN 09/113,066; 09/112,818; 09/112,772; 09/112,815; 09/113,096; 09/113,068; 09/112,808
Nozzle clearing plate	A microfabricated plate is pushed against the nozzles. The plate has a post for every nozzle. A post moves through each nozzle, displacing dried ink.	Can clear severely clogged nozzles	<ul> <li>♦ Accurate mechanical alignment is required</li> <li>♦ Moving parts are required</li> <li>♦ There is risk of damage to the nozzles</li> <li>♦ Accurate fabrication is required</li> </ul>	Silverbrook, EP 0771 658     A2 and related patent applications

	Description	Advantages	Disadvantages	Examples
Ink pressure pulse	The pressure of the ink is temporarily increased so that ink streams from all of the nozzles. This may be used in conjunction with actuator energizing.	◆ May be effective where other methods cannot be used	<ul> <li>Requires         pressure pump         or other         pressure         actuator</li> <li>Expensive</li> <li>Wasteful of         ink</li> </ul>	◆ May be used with ink jets covered by USSN 09/112,751; 09/112,787; 09/112,803; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/113,066; 09/112,778; 09/112,779; 09/113,077; 09/113,061; 09/112,818; 09/112,816; 09/112,815; 09/112,819; 09/112,815; 09/113,096; 09/113,068; 09/113,095; 09/112,808; 09/113,095; 09/112,780; 09/112,780; 09/112,780; 09/112,794; 09/113,121; 09/113,122; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,812; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820; 09/112,821
Print head wiper	A flexible 'blade' is wiped across the print head surface. The blade is usually fabricated from a flexible polymer, e.g. rubber or synthetic elastomer.	<ul> <li>Effective for planar print head surfaces</li> <li>Low cost</li> </ul>	<ul> <li>Difficult to use if print head surface is non-planar or very fragile</li> <li>Requires mechanical parts</li> <li>Blade can wear out in high volume print systems</li> </ul>	♦ Many ink jet systems

	Description	Advantages	Disadvantages	Examples
Separate ink boiling heater	A separate heater is provided at the nozzle although the normal drop e-ection mechanism does not require it. The heaters do not require individual drive circuits, as many nozzles can be cleared simultaneously, and no imaging is required.	Can be effective where other nozzle clearing methods cannot be used Can be implemented at no additional cost in some ink jet configurations	◆ Fabrication complexity	◆ Can be used with many ink jets covered by USSN 09/112,751; 09/112,787; 09/112,803; 09/112,802; 09/113,099; 09/113,097; 09/113,066; 09/112,778; 09/113,061; 09/112,779; 09/113,077; 09/113,061; 09/112,818; 09/112,816; 09/112,772; 09/112,819; 09/112,815; 09/113,096; 09/112,808; 09/113,095; 09/112,808; 09/113,121; 09/113,122; 09/112,793; 09/112,794; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,756; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820; 09/112,821

	Description	Advantages	Disadvantages	Examples
Electro- formed nickel	A nozzle plate is separately fabricated from electroformed nickel, and bonded to the print head chip.	◆ Fabrication simplicity	<ul> <li>High temperatures and pressures are required to bond nozzle plate</li> <li>Minimum thickness constraints</li> <li>Differential thermal expansion</li> </ul>	Hewlett Packard Thermal Ink jet
Laser ablated or drilled polymer	Individual nozzle holes are ablated by an intense UV laser in a nozzle plate, which is typically a polymer such as polyimide or polysulphone	<ul> <li>No masks required</li> <li>Can be quite fast</li> <li>Some control over nozzle profile is possible</li> <li>Equipment required is relatively low cost</li> </ul>	<ul> <li>Each hole must be individually formed</li> <li>Special equipment required</li> <li>Slow where there are many thousands of nozzles per print head</li> <li>May produce thin burrs at exit holes</li> </ul>	<ul> <li>Canon Bubblejet</li> <li>1988 Sercel et al., SPIE, Vol. 998 Excimer Beam Applications, pp. 76-83</li> <li>1993 Watanabe et al., USP 5,208,604</li> </ul>
Silicon micro- machined	A separate nozzle plate is micromachined from single crystal silicon, and bonded to the print head wafer.	◆ High accuracy is attainable	<ul> <li>Two part construction</li> <li>High cost</li> <li>Requires precision alignment</li> <li>Nozzles may be clogged by adhesive</li> </ul>	<ul> <li>★ K. Bean, IEEE     Transactions on Electron     Devices, Vol. ED-25, No.     10, 1978, pp 1185-1195</li> <li>★ Xerox 1990 Hawkins et al     USP 4,899,181</li> </ul>
Glass capillaries	Fine glass capillaries are drawn from glass tubing. This method has been used for making individual nozzles, but is difficult to use for bulk manufacturing of print heads with thousands of nozzles.	<ul> <li>No expensive equipment required</li> <li>Simple to make single nozzles</li> </ul>	<ul> <li>Very small nozzle sizes are difficult to form</li> <li>Not suited for mass production</li> </ul>	◆ 1970 Zoltan USP 3,683,212

	Description	Advantages	Disadvantages	Examples
Monolithic, surface micro- machined using VLSI litho- graphic processes	The nozzle plate is deposited as a layer using standard VLSI deposition techniques. Nozzles are etched in the nozzle plate using VLSI lithography and etching.	<ul> <li>High accuracy (&lt;1 μm)</li> <li>Monolithic</li> <li>Low cost</li> <li>Existing processes can be used</li> </ul>	◆ Requires sacrificial layer under the nozzle plate to form the nozzle chamber  ◆ Surface may be fragile to the touch	◆ Silverbrook, EP 0771 658 A2 and related patent applications ◆ USSN 09/112,751; 09/112,787; 09/112,803; 09/113,077; 09/113,061; 09/112,815; 09/113,096; 09/113,095; 09/112,809; 09/113,083; 09/112,793; 09/112,794; 09/113,128; 09/112,794; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820
Monolithic, etched through substrate	The nozzle plate is a buried etch stop in the wafer. Nozzle chambers are etched in the front of the wafer, and the wafer is thinned from the back side. Nozzles are then etched in the etch stop layer.	<ul> <li>High accuracy (&lt;1 μm)</li> <li>Monolithic</li> <li>Low cost</li> <li>No differential expansion</li> </ul>	<ul> <li>Requires long etch times</li> <li>Requires a support wafer</li> </ul>	◆ USSN 09/112,802; 09/113,097; 09/113,099; 09/113,084; 09/113,066; 09/112,778; 09/112,779; 09/112,818; 09/112,816; 09/112,772; 09/112,819; 09/113,068; 09/112,808; 09/112,780; 09/113,121; 09/113,122
No nozzle plate	Various methods have been tried to eliminate the nozzles entirely, to prevent nozzle clogging. These include thermal bubble mechanisms and acoustic lens mechanisms	No nozzles to become clogged	<ul> <li>Difficult to control drop position accurately</li> <li>Crosstalk problems</li> </ul>	<ul> <li>Ricoh 1995 Sekiya et al USP 5,412,413</li> <li>1993 Hadimioglu et al EUP 550,192</li> <li>1993 Elrod et al EUP 572,220</li> </ul>
Trough	Each drop ejector has a trough through which a paddle moves. There is no nozzle plate.	<ul> <li>Reduced manufacturing complexity</li> <li>Monolithic</li> </ul>	Drop firing direction is sensitive to wicking.	◆ USSN 09/112,812

	Description	Advantages	Disadvantages	Examples
Nozzle slit instead of individual nozzles	The elimination of nozzle holes and replacement by a slit encompassing many actuator positions reduces nozzle clogging, but increases crosstalk due to ink surface waves	No nozzles to become clogged	Difficult to control drop position accurately     Crosstalk problems	◆ 1989 Saito et al USP 4,799,068

	Description	Advantages	Disadvantages	Examples
Edge ('edge shooter')	Ink flow is along the surface of the chip, and ink drops are ejected from the chip edge.	<ul> <li>Simple construction</li> <li>No silicon etching required</li> <li>Good heat sinking via substrate</li> <li>Mechanically strong</li> <li>Ease of chip handing</li> </ul>	<ul> <li>Nozzles limited to edge</li> <li>High resolution is difficult</li> <li>Fast color printing requires one print head per color</li> </ul>	<ul> <li>Canon Bubblejet 1979 Endo et al GB patent 2,007,162</li> <li>Xerox heater-in-pit 1990 Hawkins et al USP 4,899,181</li> <li>Tone-jet</li> </ul>
Surface ('roof shooter')	Ink flow is along the surface of the chip, and ink drops are ejected from the chip surface, normal to the plane of the chip.	<ul> <li>No bulk silicon etching required</li> <li>Silicon can make an effective heat sink</li> <li>Mechanical strength</li> </ul>	Maximum ink flow is severely restricted	<ul> <li>♦ Hewlett-Packard TIJ 1982         Vaught et al USP         4,490,728</li> <li>♦ USSN09/112,787,         09/113,077; 09/113,061;         09/113,095; 09/112,809</li> </ul>
Through chip, forward ('up shooter')	Ink flow is through the chip, and ink drops are ejected from the front surface of the chip.	<ul> <li>High ink flow</li> <li>Suitable for pagewidth print heads</li> <li>High nozzle packing density therefore low manufacturing cost</li> </ul>	Requires bulk silicon etching	◆ Silverbrook, EP 0771 658 A2 and related patent applications  ◆ USSN 09/112,803; 09/112,815; 09/113,096; 09/113,083; 09/112,793; 09/112,794; 09/113,128; 09/113,127; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820; 09/112,821

	Description	Advantages	Disadvantages	Examples
Through chip, reverse ('down shooter')	Ink flow is through the chip, and ink drops are ejected from the rear surface of the chip.	<ul> <li>High ink flow</li> <li>Suitable for pagewidth print heads</li> <li>High nozzle packing density therefore low manufacturing cost</li> </ul>	<ul> <li>Requires wafer thinning</li> <li>Requires special handling during manufacture</li> </ul>	◆ USSN 09/112,751; 09/112,802; 09/113,097; 09/113,099; 09/113,084; 09/113,066; 09/112,778; 09/112,779; 09/112,818; 09/112,816; 09/112,772; 09/112,819; 09/113,068;09/112,808; 09/112,780; 09/113,121; 09/113,122
Through actuator	Ink flow is through the actuator, which is not fabricated as part of the same substrate as the drive transistors.	Suitable for piezoelectric print heads	<ul> <li>◆ Pagewidth         print heads         require several         thousand         connections to         drive circuits</li> <li>◆ Cannot be         manufactured         in standard         CMOS fabs</li> <li>◆ Complex         assembly         required</li> </ul>	<ul> <li>Epson Stylus</li> <li>Tektronix hot melt piezoelectric ink jets</li> </ul>

INK TYPE				
	Description	Advantages	Disadvantages	Examples
Aqueous, pigment w	Water based ink which typically contains: water, dye, surfactant, humectant, and biocide. Modern ink dyes have high water- fastness, light fastness	◆ Environmental ly friendly     ◆ No odor	<ul> <li>Slow drying</li> <li>Corrosive</li> <li>Bleeds on paper</li> <li>May strikethrough</li> <li>Cockles paper</li> </ul>	<ul> <li>Most existing ink jets</li> <li>USSN 09/112,751;</li> <li>09/112,787; 09/112,802;</li> <li>09/112,803; 09/113,097;</li> <li>09/113,099; 09/113,084;</li> <li>09/113,066; 09/112,778;</li> <li>09/112,779; 09/113,077;</li> <li>09/113,061; 09/112,818;</li> <li>09/112,816; 09/112,772;</li> <li>09/112,819; 09/112,815;</li> <li>09/113,096; 09/113,068;</li> <li>09/113,095; 09/112,808;</li> <li>09/113,095; 09/112,780;</li> <li>09/113,122; 09/112,780;</li> <li>09/113,122; 09/112,793;</li> <li>09/112,794; 09/113,128;</li> <li>09/112,794; 09/112,756;</li> <li>09/112,811; 09/112,754;</li> <li>09/112,811; 09/112,812;</li> <li>09/112,764; 09/112,765;</li> <li>09/112,767; 09/112,768;</li> <li>09/112,807; 09/112,806;</li> <li>09/112,820; 09/112,821</li> <li>Silverbrook, EP 0771 658</li> <li>A2 and related patent applications</li> </ul>
Aqueous, pigment	Water based ink which typically contains: water, pigment, surfactant, humectant, and biocide. Pigments have an advantage in reduced bleed, wicking and strikethrough.	<ul> <li>Environmental ly friendly</li> <li>No odor</li> <li>Reduced bleed</li> <li>Reduced wicking</li> <li>Reduced strikethrough</li> </ul>	<ul> <li>Slow drying</li> <li>Corrosive</li> <li>Pigment may clog nozzles</li> <li>Pigment may clog actuator mechanisms</li> <li>Cockles paper</li> </ul>	<ul> <li>USSN 09/112,787;         09/112,803; 09/112,808;         09/113,122; 09/112,793;         09/113,127</li> <li>Silverbrook, EP 0771 658         A2 and related patent         applications</li> <li>Piezoelectric ink-jets</li> <li>Thermal ink jets (with         significant restrictions)</li> </ul>

	Description	Advantages	Disadvantages	Examples
Methyl Ethyl Ketone (MEK)	MEK is a highly volatile solvent used for industrial printing on difficult surfaces such as aluminum cans.	<ul> <li>Very fast drying</li> <li>Prints on various substrates such as metals and plastics</li> </ul>	◆ Odorous ◆ Flammable	◆ USSN 09/112,751; 09/112,787; 09/112,802; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/113,066; 09/112,778; 09/112,779; 09/113,077; 09/113,061; 09/112,818; 09/112,816; 09/112,772; 09/112,819; 09/112,815; 09/113,096; 09/113,068; 09/113,095; 09/112,808; 09/113,095; 09/112,780; 09/113,083; 09/112,780; 09/113,122; 09/112,793; 09/112,794; 09/113,128; 09/112,794; 09/112,756; 09/112,755; 09/112,754; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768;
Alcohol (ethanol, 2-butanol, and others)	Alcohol based inks can be used where the printer must operate at temperatures below the freezing point of water. An example of this is in-camera consumer photographic printing.	<ul> <li>Fast drying</li> <li>Operates at sub-freezing temperatures</li> <li>Reduced paper cockle</li> <li>Low cost</li> </ul>	◆ Slight odor ◆ Flammable	● USSN 09/112,821  ■ USSN 09/112,751;  09/112,787; 09/112,802;  09/112,803; 09/113,097;  09/113,099; 09/113,084;  09/113,066; 09/112,778;  09/112,779; 09/113,077;  09/113,061; 09/112,818;  09/112,816; 09/112,772;  09/112,819; 09/112,815;  09/113,096; 09/113,068;  09/113,095; 09/112,808;  09/113,095; 09/112,780;  09/113,083; 09/112,780;  09/113,083; 09/113,121;  09/113,122; 09/112,793;  09/112,794; 09/112,793;  09/112,794; 09/112,756;  09/112,755; 09/112,754;  09/112,811; 09/112,812;  09/112,813; 09/112,814;  09/112,764; 09/112,768;  09/112,767; 09/112,768;  09/112,807; 09/112,806;

	Description	Advantages	Disadvantages	Examples
Phase change (hot melt)	The ink is solid at room temperature, and is melted in the print head before jetting. Hot melt inks are usually wax based, with a melting point around 80 °C. After jetting the ink freezes almost instantly upon contacting the print medium or a transfer roller.	<ul> <li>No drying time- ink instantly freezes on the print medium</li> <li>Almost any print medium can be used</li> <li>No paper cockle occurs</li> <li>No wicking occurs</li> <li>No bleed occurs</li> <li>No strikethrough occurs</li> </ul>	<ul> <li>High viscosity</li> <li>Printed ink typically has a 'waxy' feel</li> <li>Printed pages may 'block'</li> <li>Ink temperature may be above the curie point of permanent magnets</li> <li>Ink heaters consume power</li> <li>Long warm-up time</li> </ul>	◆ Tektronix hot melt piezoelectric ink jets  ◆ 1989 Nowak USP 4,820,346  ◆ USSN 09/112,751; 09/112,802; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/113,066; 09/112,778; 09/112,779; 09/113,061; 09/112,818; 09/112,816; 09/112,816; 09/112,819; 09/112,819; 09/112,809; 09/113,095; 09/112,809; 09/113,083; 09/113,083; 09/113,121; 09/113,122; 09/113,122; 09/112,794; 09/113,128; 09/112,756; 09/112,755; 09/112,756; 09/112,811; 09/112,812; 09/112,813; 09/112,814; 09/112,764; 09/112,765; 09/112,766; 09/112,767; 09/112,768; 09/112,807; 09/112,806;
Oil	Oil based inks are extensively used in offset printing. They have advantages in improved characteristics on paper (especially no wicking or cockle). Oil soluble dies and pigments are required.	<ul> <li>High solubility medium for some dyes</li> <li>Does not cockle paper</li> <li>Does not wick through paper</li> </ul>	<ul> <li>◆ High viscosity:         <ul> <li>this is a</li> <li>significant</li> <li>limitation for</li> <li>use in ink jets,</li> <li>which usually</li> <li>require a low</li> <li>viscosity.</li> </ul> </li> <li>Some short</li> <li>chain and</li> <li>multi-branched</li> <li>oils have a</li> <li>sufficiently</li> <li>low viscosity.</li> <li>◆ Slow drying</li> </ul>	09/112,820; 09/112,821  ◆ USSN 09/112,751; 09/112,787; 09/112,802; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/113,066; 09/112,778; 09/112,779; 09/113,077; 09/113,061; 09/112,818; 09/112,816; 09/112,772; 09/112,819; 09/112,815; 09/113,096; 09/112,815; 09/113,095; 09/112,808; 09/112,809; 09/112,780; 09/113,083; 09/112,780; 09/113,122; 09/112,793; 09/112,794; 09/113,128; 09/112,794; 09/113,128; 09/112,755; 09/112,756; 09/112,811; 09/112,812; 09/112,811; 09/112,814; 09/112,764; 09/112,765; 09/112,767; 09/112,768; 09/112,807; 09/112,806; 09/112,820; 09/112,821

INK TYPE					
	Description	Advantages	Disadvantages	Examples	
Micro- emulsion	A microemulsion is a stable, self forming emulsion of oil, water, and surfactant. The characteristic drop size is less than 100 nm, and is determined by the preferred curvature of the surfactant.	<ul> <li>Stops ink bleed</li> <li>High dye solubility</li> <li>Water, oil, and amphiphilic soluble dies can be used</li> <li>Can stabilize pigment suspensions</li> </ul>	<ul> <li>♦ Viscosity         higher than         water</li> <li>♦ Cost is slightly         higher than         water based         ink</li> <li>♦ High surfactant         concentration         required         (around 5%)</li> </ul>	◆ USSN 09/112,751; 09/112,787; 09/112,802; 09/112,803; 09/113,097; 09/113,099; 09/113,084; 09/113,066; 09/112,778; 09/112,779; 09/113,077; 09/113,061; 09/112,818; 09/112,816; 09/112,772; 09/112,819; 09/112,815; 09/113,096; 09/113,068; 09/113,095; 09/112,808; 09/113,095; 09/112,780; 09/113,083; 09/112,780; 09/113,122; 09/112,793; 09/113,122; 09/112,756; 09/112,755; 09/112,756; 09/112,811; 09/112,812; 09/112,811; 09/112,814; 09/112,767; 09/112,768; 09/112,807; 09/112,821	